



CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD ENDING ON 30th SEPTEMBER 2023

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June 2018 and vide its press release dated 3rd December 2018, amended Regulation 40 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations , 2015 and has mandated to transfer securities in dematerialized form w.e.f 1st April 2019.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January 2022 has mandated that the companies shall effect issuance of certificates of receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal /Exchange of securities certificate, Endorsement, Sub division /Splitting of securities certificate, Consolidation of Securities certificates /folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **M/s. BHATKAWA TEA INDUSTRIES LIMITED** (CIN - L01132WB1911PLC002077) maintained by M/s. S. K. Infosolutions Pvt. Ltd., Registrar and Share Transfer Agents (RTA) at D/42, Katju Nagar, Kolkata – 700 032, pertaining to transfer of equity shares of the Company for the period from 1ST April 2023 to 30th September 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015. I hereby certify that during the half year ended on 30th September 2023:

- A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from 1ST April 2023 to 30th September 2023 as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of requests for exchange of duplicate and split certificates have been issued within thirty days of lodgement of request, complete in all respect.
- C) The Company has not received any request for issue of right/bonus/public/preferential issue or conversion/sub division, consolidation, duplicate, replacement, renewal, exchange, split or endorsement of calls, /allotment monies/remat of shares.

For SB Sheth & Associates

Shiksha Bhavik Sheth
Practising Company Secretary
M No –A28387
C. P. No-15781
Peer Review Certificate No – 2454/2022
UDIN- A028387E001364436
Date : 18.10.2023